

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: POPP ET AL - 1 US

SERIAL NO.: 10/059,774

GROUP: 1614

FILED: JANUARY 30, 2002

FOR: PROCESS FOR THE ENZYMATIC PREPARATION OF ENANTIOPURE
1,3-DIOXOLAN-4-ONE AND 1,3-OXATHIOLAN-5-ONE DERIVATIVES



RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION
- FILING DATE GRANTED

BOX MISSING PARTS
Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

In response to the enclosed "Notice to File Missing Parts of Application - Filing Date
Granted", dated March 28, 2002, applicants herewith enclose a "Substitute Specification" having an
executed declaration attached thereto. No new matter is being introduced. A check in the amount of
\$130.00 is enclosed, to cover the surcharge for the late filing of the Declaration for a Large Entity.

Attached hereto is an executed ASSIGNMENT, along with the required cover sheet, with
authorization to charge the \$40.00 to the undersigned attorneys' deposit account.

Also attached hereto is an executed Information Disclosure Statement, along with the
required cover sheet, duly signed by Dr. Holger Potten, along with Form PTO-1449 and nine (9)
references.

05/08/2002 SNAJARRO 00000055 032468 10059774

01 FC:154

130.00 OP

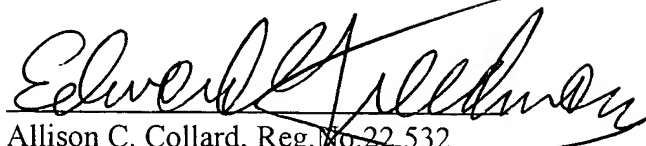
Adjustment date: 06/06/2002 MTEKLEMI
05/08/2002 SNAJARRO 00000055 10059774
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-130.00 OP

Any fee deficiencies may be charged to deposit account 03-2468.

Respectfully submitted,

POPP ET AL - 1 US



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ERF/mt

Enclosures: (1) Form PTO-1533 (Notice)
(2) Substitute Specification with executed Declaration;
(3) Check for \$130.00;
(4) Assignment with recordal cover sheet;
(5) Submission of Information Disclosure Statement signed by Dr. Holger Potten;
(6) Information Disclosure Statement signed by Dr. Holger Potten w/PTO-1449 form
and copies of nine (9) references.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on May 1, 2002.



Lisa L. Vulpis



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/059,774	01/30/2002	Alfred Popp	POPP ET AL - 1 US

CONFIRMATION NO. 5508

FORMALITIES LETTER



OC000000007737834

COLLARD & ROE, P.C.
1077 Northern Boulevard
Roslyn, NY 11576-1696

Date Mailed: 03/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

06/06/2002 HTEKLEMI 00000015 10059774

01 FC:105

130.00 ^{DD} A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE